

# STABILIZATION OF HYDROPHILIC PORES IN CHARGED LIPID BILAYERS BY ANISOTROPIC MEMBRANE INCLUSIONS

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## Contents

1. Introduction	2
2. Geometrical Model of Hydrophilic Pore	3
3. Free Energy of the System	5
4. Electrostatic Energy of the Pore	6
5. Free Energy of the Inclusions	10
6. Theoretical Predictions	14
6.1. Inclusion-Free Membrane	14
6.2. Influence of Anisotropic Intrinsic Shape of Membrane Inclusions	15
6.3. Influence of Salt Concentration (Ionic Strength)	17
7. On the Role of Anisotropic Membrane Inclusions in Membrane Electroporation- Experimental Consideration	19
8. Discussion and Conclusions	21
References	23

## Abstract

We present theoretical and experimental evidences on stable pores in the presence of anisotropic membrane inclusions. The model is based on minimization of free energy which involves three contributions: the energy due to the line tension of the lipid bilayer at the rim of the pore, the electrostatic energy of the charged membrane with the pore, and the energy of anisotropic membrane inclusions. In order to calculate the electrostatic energy of the membrane with the pore, the electric potential in the vicinity of an infinite, uniformly charged plate with a circular pore was calculated analytically. It is shown that the optimal pore size in the charged bilayer membrane is determined by the ionic strength of the surrounding electrolyte solution and by the intrinsic shape of the anisotropic membrane inclusions. Saddle-like membrane inclusions favor small pores whereas more wedge-like inclusions give rise to larger pore sizes [1]. For ionic strength below  $0.05 \text{ mol/dm}^3$  the optimal size of the pore strongly increases with decreasing ionic strength. In accordance with theoretical predictions it was indicated experimentally

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1 that  $C_{12}E_8$ -induced anisotropic membrane inclusions may stabilize the hydrophilic pore  
2 in the membrane, presumably due to accumulation of  $C_{12}E_8$  on toroidally shaped rim of  
3 the pore [2].

## 5 7 **1. INTRODUCTION**

9 The cell membrane is a semi-permeable barrier between the cell interior and  
10 its surroundings. One of the mechanisms for transmembrane transport involves the  
11 presence of pores in the lipid bilayer, through which a substantial flow of material  
12 can take place. For example, pores were observed in red blood cell ghosts [3–5],  
13 where the pore size depends on the ionic strength of the surrounding fluid [4].

14 The formation of pores in the membrane can be induced by application of high-  
15 intensity electric pulses of short duration [6]. This phenomenon is known as  
16 electroporation and has become widely used in medicine and biology [7–13]. A  
17 number of theoretical studies have been made to understand the physical basis of  
18 electroporation [14,15]. However, the mechanisms responsible for the energetics  
19 and stability of membrane pores still require further clarification.

20 The formation of hydrophilic pore in a lipid bilayer implies that lipid molecules  
21 near the edge of the pore rearrange themselves in a way that their polar head groups  
22 shield the hydrocarbon tails from the water [16,17]. In the model the excess energy  
23 due to modified packing of the phospholipid molecules at the edge of the pore is  
24 described by line tension, which makes the hydrophilic membrane pore energet-  
25 ically unfavorable. On the other hand, there are various examples where membrane  
26 pores live long enough to observe them experimentally [4,18,19]. The question  
27 arises what mechanisms could be responsible for stabilization of pores.

28 A possible mechanism has recently been suggested by Betterton and Brenner  
29 [20] for charged membranes, which is based on a competition between line tension  
30 and electrostatic repulsion between the apposed membrane rims within a pore. An  
31 analysis based on linearized Poisson–Boltzmann (PB) theory showed for certain  
32 combinations of model parameters the pore becomes energetically stabilized.  
33 However, the depth of the minimum is below  $kT$  (where  $k$  is Boltzmann's constant  
34 and  $T$  the absolute temperature) so that additional stabilizing effects are required to  
35 explain the existence of experimentally observed pores [20].

36 In this chapter we describe as a possible mechanism for the stabilization of pores  
37 in charged bilayer membranes, i.e., the non-homogeneous lateral distribution and  
38 orientational ordering of anisotropic membrane inclusions, i.e., their accumulation  
39 and orientation at the edge of the pore [1,2].

40 Anisotropic membrane inclusion may be a single molecule or a small complex  
41 of molecules (rigid or flexible) in biological or model membranes. If all in-plane  
42 orientations of anisotropic membrane component are not energetically equivalent,  
43 then the inclusion is referred to as anisotropic [21,22]. The inclusions may laterally  
44 distribute in such way as to minimize the membrane-free energy [21,23–28]. Be-  
45 sides the lateral distribution of membrane constituents in-plane orientational order-  
ing of anisotropic inclusions has recently also been considered [22,29–31]. Non-

1 homogeneous lateral and orientational distributions of anisotropic membrane constituents are internal degrees of freedom. A method has been developed [21,32]  
 3 starting from the microscopic description of the membrane constituents and applying methods of statistical physics to obtain the membrane-free energy. To obtain  
 5 the equilibrium configuration of the membrane, the membrane-free energy is minimized taking into account the relevant geometrical constraints. The intrinsic  
 7 properties of membrane constituents and interactions between them are thereby revealed in the equilibrium shape of the vesicle. Here we present this method while  
 9 focusing on the effect of the intrinsic shape of anisotropic membrane inclusions on the equilibrium configuration of the membrane and the corresponding orientational  
 11 ordering and lateral distribution of inclusions. The results presented may contribute to understanding the stability of pores in lipid bilayers.

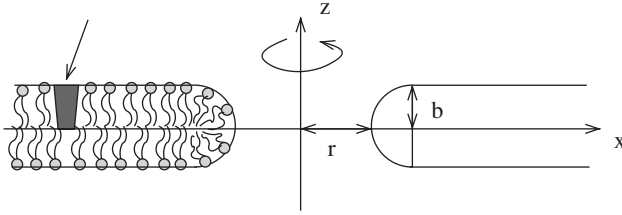
13 Anisotropic membrane constituents are of special interest for the formation of membrane pores: the pore rim provides a geometry, which anisotropic inclusions  
 15 favorably interact with [22,32–36]. Of particular interest in this respect are peptide [37–40] or detergent [2,41] stabilization of membrane pore. Detergents and certain  
 17 antimicrobial peptides are amphipathic, often exhibiting their lytic activity [19,42,43], also through the formation of membrane pores. Antimicrobial peptides  
 19 are typically elongated in shape, which renders their interaction with curved membranes highly anisotropic. Also the detergents or small complexes of the detergent  
 21 with the surrounding membrane molecules may be anisotropic with the preference for high curvature of the pore rim due to their large hydrophilic part  
 23 [2,43]. Examples for anisotropic membrane inclusions also include various lipids [44,45], glycolipids or lipoproteins [46], and gemini detergents [47].

25 We present the analysis of energetics of a single membrane pore in a binary lipid membrane, consisting of (charged) lipids and anisotropic inclusions [1,2]. The free  
 27 energy of the inclusion-doped membrane contains the line tension contribution, the interaction energy between the anisotropic inclusions and the membrane, and  
 29 the electrostatic energy of the charged lipids [1,2].

## 31 2. GEOMETRICAL MODEL OF HYDROPHILIC PORE

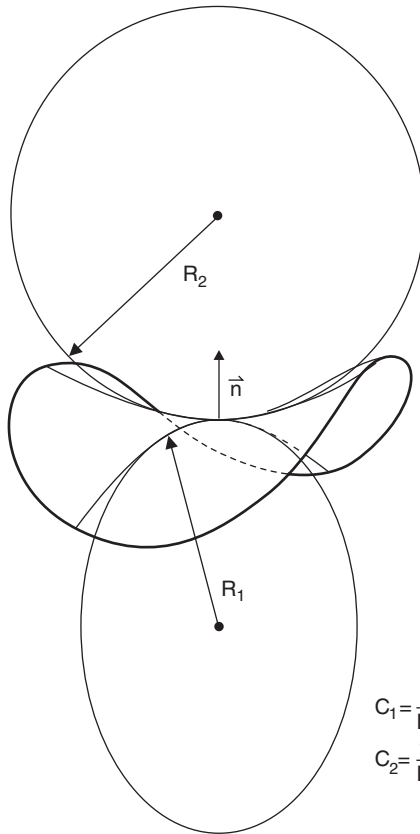
35 We consider a planar lipid bilayer membrane that contains a single pore of aperture radius  $r$ , as schematically shown in Fig. 1. We locate a Cartesian coordinate  
 37 system at the pore center with the axis of rotational symmetry ( $Z$ -axis) pointing normal to the bilayer midplane. Even though experimentally obtained evidence is  
 39 currently not available, it seems a reasonable approximation to assume that the lipids within the rim assemble into a semi-toroidal configuration in order to shield the  
 41 hydrocarbon chains from the contact with the aqueous environment. The bilayer thickness is  $2b$ .

43 A parameterization of the semi-toroidal pore is given by  $x = b \cos \varphi ((r/b) + 1 + \cos \theta)$ ,  $y = b \sin \varphi ((r/b) + 1 + \cos \theta)$ , and  $z = b \sin \theta$  with  $0 \leq \varphi \leq 2\pi$  and  
 45  $\pi/2 \leq \theta \leq 3\pi/2$ . The principal curvatures (for definition see Fig. 2) of the membrane in the region of the pore rim



**Figure 1** A planar lipid bilayer with a pore in the center. The figure shows the cross-section in the  $x$ - $z$  plane. Rotational symmetry around the  $z$ -axis is indicated. On the left side, the packing of the lipid molecules is shown schematically. The head groups of lipid molecules are represented by filled circles. The arrow denotes the membrane inclusion which is shown schematically (adapted from [30]).

AU:1



$$C_1 = \frac{1}{R_1}$$

$$C_2 = \frac{1}{R_2}$$

**Figure 2** Definition of the two principal curvatures  $C_1$  and  $C_2$  (figure shows the saddle-like geometry).

$$c_1 = \frac{1}{b}, c_2 = \frac{\cos \theta}{r + b(1 + \cos \theta)} \quad (1)$$

and the area element  $dA_p = b[r + b(1 + \cos \theta)]d\varphi d\theta$ . The local geometry within the rim is saddle-like everywhere, and most pronounced at  $\theta = \pi$ , where  $c_1/c_2 = -r/b$ . Note again, the semi-toroidal shape of the pore rim is an assumption; alternative pore shape could be considered but is not expected to alter the conclusions of the present work.

### 3. FREE ENERGY OF THE SYSTEM

To obtain the equilibrium size of the pore, the overall free energy,  $F$ , of the pore is minimized. We assume that  $F$  is the sum of three contributions:

$$F = W_{\text{edge}} + U_{\text{el}} + F_i \quad (2)$$

where  $W_{\text{edge}}$  is the energy due to the line tension of a lipid bilayer without the inclusions,  $U_{\text{el}}$  the electrostatic energy of the charged lipids, and  $F_i$  the energy due to the interactions between the membrane inclusions and the host membrane. We note that  $F$  is *excess* free energy, measured with respect to a planar, pore-free membrane [1].

For an inclusion-free membrane the energy  $W_{\text{edge}}$  is given by

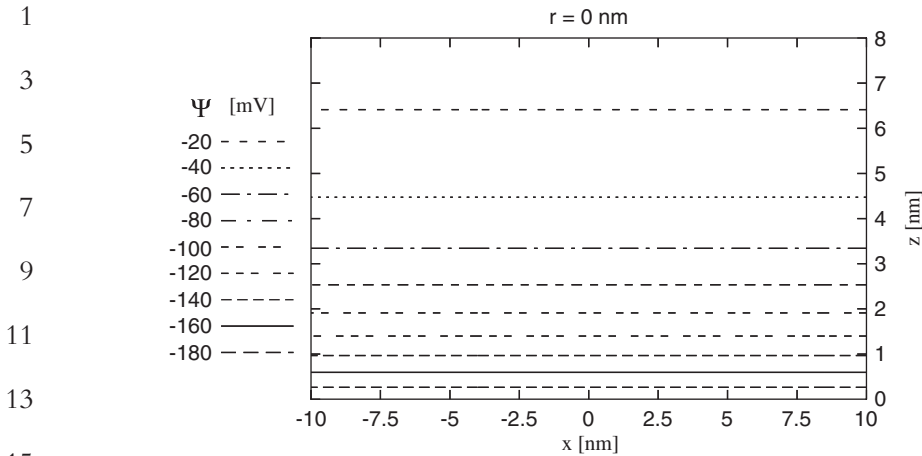
$$W_{\text{edge}} = 2\pi\Lambda r \quad (3)$$

where  $r$  is the radius of the circular membrane pore and  $\Lambda$  the line tension (i.e., excess energy per unit length of the pore edge in the lipid bilayer). One can obtain a rough estimate for  $\Lambda$  on the basis of the elastic energy required to bend a lipid monolayer into a semi-cylindrical pore rim [2,16]. Adopting the usual quadratic curvature expansion for the free energy according to Helfrich [48] one finds [16]  $\Lambda = \pi k_c/2b$  where  $k_c$  is the lipid layers's bending rigidity. For  $b = 2.5$  nm and  $k_c = 10 kT$  the values  $\Lambda \approx 6 kT/\text{nm} \approx 2 \times 10^{-11}$  J/m (at room temperature). This order of magnitude corresponds to experimental results for the line tension of lipid bilayers [18,49,50].

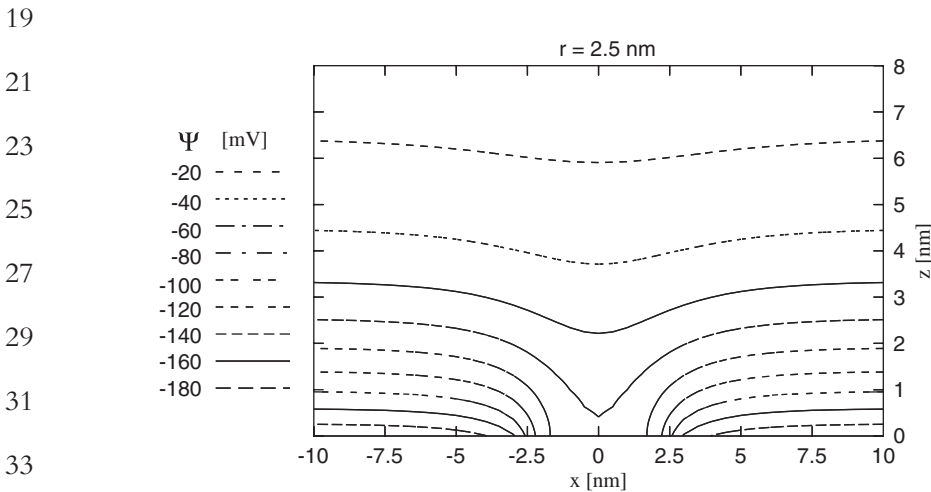
If rigid membrane inclusions are present within the membrane pore they replace some lipids. The replaced lipids do no longer contribute to the line tension  $W_{\text{edge}}$ . We shall account approximatively for this reduction in line tension by writing

$$W_{\text{edge}} = 2\Lambda(\pi r - N_p R_i) \quad (4)$$

where  $N_p$  denotes the number of inclusions within the pore rim and  $2R_i$  the lateral extension of the cross-sectional shape of the inclusions. Steric interactions limit the number of inclusions within the membrane rim;  $N_p \leq N_p^{\text{max}} = \pi r/R_i$ . Thus  $W_{\text{edge}} \geq 0$ , and the line tension always provides a tendency for the pore to shrink. AU:2



17 **Figure 3** Equipotential surfaces in the vicinity of the charged plane in contact with the  
 18 electrolyte solution. The values of the model parameters are:  $\sigma = -0.05 \text{ \AA/m}^2$ ,  $\epsilon_w = 80$ ,  
 19  $1/\kappa_d = 2.8 \text{ nm}$  (adapted from [52]).



35 **Figure 4** Equipotential surfaces in the vicinity of the charged plane with circular hole. The  
 36 plane is in contact with the electrolyte solution. The radius of the circular pore ( $r$ ) is 2.5 nm. The  
 37 values of the model parameters are:  $\sigma = -0.05 \text{ \AA/m}^2$ ,  $\epsilon_w = 80$ ,  $1/\kappa_d = 2.8 \text{ nm}$  (adapted from [52]).

#### 4. ELECTROSTATIC ENERGY OF THE PORE

The calculation of the electrostatic energy of the membrane pore follows Betterton and Brenner [20] who have derived an expression valid for a very thin membrane ( $b \rightarrow 0$ ) and within linearized PB theory. To keep our model traceable

we also adopt the result of linear PB theory where the equation

$$\nabla^2 \phi = \kappa_d^2 \phi \quad (5)$$

determines the (dimensionless) electrostatic potential  $\phi = e_0 \Psi / kT$  (electric potential  $\Psi$  is measured in mV) at given Debye length  $l_D = \kappa_d^{-1}$ :

$$\kappa_d = \sqrt{\frac{2n_0 N_A e_0^2}{\varepsilon_w \varepsilon_0 kT}} \quad (6)$$

where  $\varepsilon_w$  is the dielectric constant of the aqueous solution,  $\varepsilon_0$  the permittivity of free space,  $n_0$  is the ionic strength of the surrounding electrolyte solution (i.e., bulk salt concentration; assuming a 1:1 salt such as NaCl), and  $N_A$  Avogadro's number and  $e_0$  is the unit charge.

The solution of linearized PB (i.e., the electric potential  $\Psi_\infty$ ) for the case of infinite flat surface without a pore which satisfies the boundary conditions

$$\phi(z \rightarrow \infty) = 0 \quad (7)$$

$$\frac{\partial \phi}{\partial z}(z = 0) = -\frac{\sigma}{\varepsilon_w \varepsilon_0} \quad (8)$$

can be written in the form [51]:

$$\phi_\infty(z) = \frac{\sigma}{\varepsilon_w \varepsilon_0 \kappa_d} e^{-\kappa_d z} \quad (9)$$

where  $\sigma$  is the surface charge density. The solution of the linearized PB equation for planar lipid bilayer with the pore of radius  $r$  can be then written as the difference between the electrostatic potential of a flat infinite pore-free membrane ( $\phi_\infty$ ) and the electrostatic potential of the circular flat membrane segment with the radius  $r$  ( $\phi_p$ ), both having constant surface charge density  $\sigma$  (Figs. 3 and 4) [52]:

$$\phi(x, z) = \phi_\infty(z) - \phi_p(x, z). \quad (10)$$

The electrical potential of circular flat membrane segment with the surface charge density  $\sigma$  and radius  $r$  is calculated using the cylindrical coordinates. For axisymmetric case the linearized PB equation in cylindrical coordinates reads:

$$\frac{1}{x} \frac{\partial}{\partial x} \left( x \frac{\partial \phi_p}{\partial x} \right) + \frac{\partial^2 \phi_p}{\partial z^2} = \kappa_d^2 \phi_p \quad (11)$$

where the origin of coordinate system is located at the pore center with the axis of rotational symmetry ( $z$ -axis) pointing normal to the bilayer midplane (Fig. 1). We seek for the solution of equation (11) by the ansatz

$$\phi_p(x, z) = R(x)Z(z) \quad (12)$$

where  $R(x)$  is the function of  $x$  and  $Z(z)$  the function of  $z$ . In this way equation (11) yields:

$$\frac{1}{R(x)} \frac{1}{x} \frac{\partial}{\partial x} \left( x \frac{\partial R(x)}{\partial x} \right) + \frac{1}{Z(z)} \frac{\partial^2 Z(z)}{\partial z^2} = \kappa_d^2. \quad (13)$$

Since the first term in equation (13) depends solely on the coordinate  $x$ , while the second term is the function of coordinate  $z$  only, the sum of both terms can be always equal to the constant  $\kappa_d^2$  only if both terms are also constant. We take

$$\frac{1}{Z(z)} \frac{\partial^2 Z(z)}{\partial z^2} = \kappa_d^2 + k^2 \quad (14)$$

$$\frac{1}{R(x)} \frac{1}{x} \frac{\partial}{\partial x} \left( x \frac{\partial R(x)}{\partial x} \right) = -k^2. \quad (15)$$

The general solution of equation (14) has the form [53]:

$$Z(z) = C e^{-\sqrt{\kappa_d^2 + k^2} z} + C_1 e^{\sqrt{\kappa_d^2 + k^2} z}. \quad (16)$$

By taking into account the boundary condition  $\phi(z \rightarrow \infty) = 0$  we chose the value  $C_1 = 0$  for the constant  $C_1$  in equation (16), therefore:

$$Z = C e^{-\sqrt{\kappa_d^2 + k^2} z}. \quad (17)$$

Equation (15) is rewritten in the form:

$$x^2 \frac{\partial^2 R}{\partial x^2} + x \frac{\partial R}{\partial x} + k^2 x^2 R = 0. \quad (18)$$

A regular solution of differential equation (18) is the Bessel function of the first kind [53]

$$R = C J_0(kx). \quad (19)$$

The solution of equation (11) in the form of  $\phi_p(x, z) = R(x)Z(z)$  (see equation (12)) is therefore:

$$\phi_p(x, z) = C(k) J_0(kx) e^{-\sqrt{\kappa_d^2 + k^2} z}. \quad (20)$$

The *general* solution of equation (11) is thus:

$$\phi_p(x, z) = \int_0^\infty dk C(k) J_0(kx) e^{-\sqrt{\kappa_d^2 + k^2} z}. \quad (21)$$

In the following equation (21) is first differentiated with respect to the coordinate  $z$ :

$$\frac{\partial \phi_p}{\partial z} = \int_0^\infty dk (-\sqrt{\kappa_d^2 + k^2}) C(k) J_0(kx) e^{-\sqrt{\kappa_d^2 + k^2} z}. \quad (22)$$

At  $z = 0$  it follows from above equation [52]:

$$\frac{\partial \phi_p}{\partial z} \Big|_{z=0} = \int_0^\infty dk (-\sqrt{\kappa_d^2 + k^2}) C(k) J_0(kx). \quad (23)$$

By using the Hankel transformation [53] the values of coefficients  $C(k)$  can be calculated from equation (23) as follows:



$$C(k) = -\frac{k}{\sqrt{\kappa_d^2 + k^2}} \int_0^\infty dx x J_0(kx) \frac{\partial \phi_p}{\partial z} \Big|_{z=0}. \quad (24)$$

The integration in equation (24) is divided in to two parts [52]:

$$C(k) = -\frac{k}{\sqrt{\kappa_d^2 + k^2}} \left( \int_0^r dx x J_0(kx) \frac{\partial \phi_p}{\partial z} \Big|_{z=0} + \int_r^\infty dx x J_0(kx) \frac{\partial \phi_p}{\partial z} \Big|_{z=0} \right). \quad (25)$$

By taking into account the boundary conditions:

$$\frac{\partial \phi_p}{\partial z}(z=0) = -\frac{\sigma}{\varepsilon_w \varepsilon_0}, \quad x < r, \quad (26)$$

$$\frac{\partial \phi_p}{\partial z}(z=0) = 0, \quad x \geq r, \quad (27)$$

it follows:

$$C(k) = \frac{\sigma}{k \varepsilon_w \varepsilon_0 \sqrt{\kappa_d^2 + k^2}} \int_0^r d(kx) k x J_0(kx). \quad (28)$$

Considering the relations [53],

$$kr J_1(kr) = \int_0^r d(kx) k x J_0(kx), \quad (29)$$

it follows from equation (28):

$$C(k) = \frac{\sigma r J_1(kr)}{\varepsilon_w \varepsilon_0 \sqrt{\kappa_d^2 + k^2}}. \quad (30)$$

If the calculated expression for  $C(k)$  is inserted in equation (21), the electric potential  $\phi_p(x, z)$  can be written as [52]

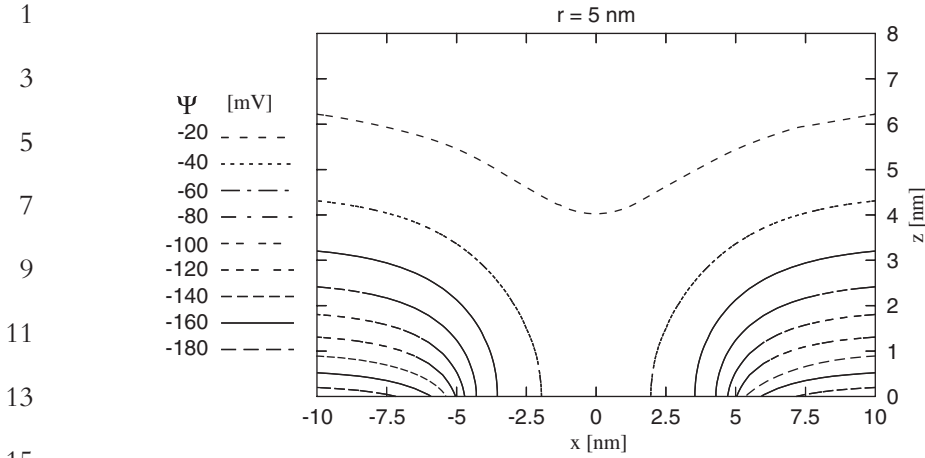
$$\phi_p(x, z) = \frac{\sigma r}{\varepsilon_w \varepsilon_0} \int_0^\infty dk \frac{J_0(kx) J_1(kr)}{\sqrt{\kappa_d^2 + k^2}} e^{-\sqrt{\kappa_d^2 + k^2} z}, \quad (31)$$

where  $J_0$  and  $J_1$  are Bessel functions. By using equations (9), (??) and (31) we can determine the expression for electric potential of flat charged membrane with circular pore of radius  $r$ , being in contact with electrolyte solution [20] (Fig. 5): AU-4

$$\phi(x, z) = \frac{\sigma}{\varepsilon_w \varepsilon_0 \kappa_d} e^{-\kappa_d z} - \frac{\sigma r}{\varepsilon_w \varepsilon_0} \int_0^\infty dk \frac{J_0(kx) J_1(kr)}{\sqrt{\kappa_d^2 + k^2}} e^{-\sqrt{\kappa_d^2 + k^2} z}. \quad (32)$$

The electrostatic-free energy [54] can be derived *via* a charging process [51,55]:

$$U_{\text{el, tot}} = 2\pi \int_0^\infty \sigma(x) \phi(z=0) x dx. \quad (33)$$



**Figure 5** Equipotential surfaces in the vicinity of the charged plane. The plane is in contact with the electrolyte solution. The radius of the circular pore ( $r$ ) is  $5\text{ nm}$ . The values of the model parameters are:  $\sigma = -0.05\text{ A/m}^2$ ,  $\epsilon_w = 80$ ,  $1/\kappa_d = 2.8\text{ nm}$  (adapted from [52]).

Equation (33) is processed analytically by using equation (32). By subtracting the electrostatic energy of the charged pore-free membrane, one obtains an explicit expression for *excess* electrostatic energy of the pore [1,20]

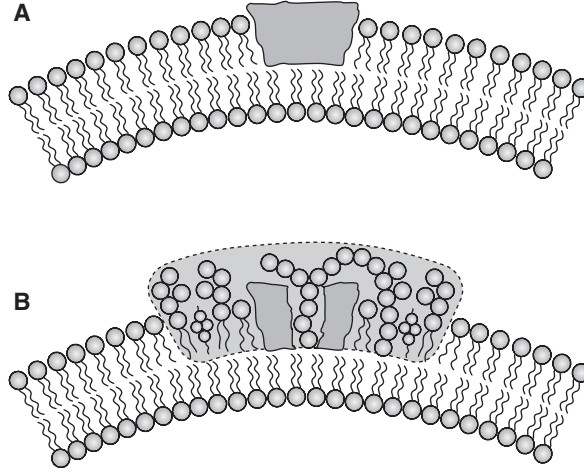
$$U_{\text{el}} = -\frac{\pi\sigma^2 r^2}{\epsilon_w \epsilon_0 \kappa_d} + \frac{2\pi\sigma^2 r^3}{\epsilon_w \epsilon_0} \int_0^\infty \frac{J_1(x)^2}{x\sqrt{x^2 + \kappa_d^2 r^2}} dx. \quad (34)$$

## 5. FREE ENERGY OF THE INCLUSIONS

Any membrane constituent (single molecule or small complexes of molecules (Fig. 6)) may be treated as membrane inclusion in a two-dimensional continuum curvature field imposed by other membrane constituents. To describe the corresponding free energy,  $F_i$ , we use a phenomenological model [32,36,56] where the single-inclusion energy derives from the mismatch between the effective intrinsic shape of the inclusions and the actual shape of the membrane at site of the inclusions. The actual shape of the membrane at the site of the inclusion can be described by the diagonalized curvature tensor  $\mathbf{C}$ ,

$$\mathbf{C} = \begin{bmatrix} C_1 & 0 \\ 0 & C_2 \end{bmatrix}, \quad (35)$$

where  $C_1$  and  $C_2$  are the two principal curvatures (Fig. 2). The intrinsic shape of a given inclusion can be described by the diagonalized curvature tensor  $\mathbf{C}_m$  [32,36],



**Figure 6** An inclusion can be a single molecule (A) [1] or small (flexible) complex of molecules (B) [60].

$$\mathbf{C}_m = \begin{bmatrix} C_{1m} & 0 \\ 0 & C_{2m} \end{bmatrix}, \quad (36)$$

where  $C_{1m}$  and  $C_{2m}$  are two intrinsic principal curvatures of the inclusion (Fig. 7). The intrinsic principal curvatures are in general not identical. If they are identical ( $C_{1m} = C_{2m}$ ), the intrinsic shape is isotropic (Fig. 7) and the in-plane orientation of the inclusion is immaterial. If  $C_{1m} \neq C_{2m}$  the inclusion is called “anisotropic” (Fig. 7) [22,33,36,44,47].

The principal directions of the tensor  $\mathbf{C}$  deviate in general from the principal directions of the tensor  $\mathbf{C}_m$ ; say, a certain angle  $\omega$  quantifies this mutual rotation. The single-inclusion energy ( $E_i$ ) can then be expressed in terms of the two invariants (trace and determinant) of the mismatch tensor  $\mathbf{M} = \mathbf{R}\mathbf{C}_m\mathbf{R}^{-1} - \mathbf{C}$  where  $\mathbf{R}$  is the rotation matrix [56]

$$\mathbf{R} = \begin{bmatrix} \cos \omega & -\sin \omega \\ \sin \omega & \cos \omega \end{bmatrix}. \quad (37)$$

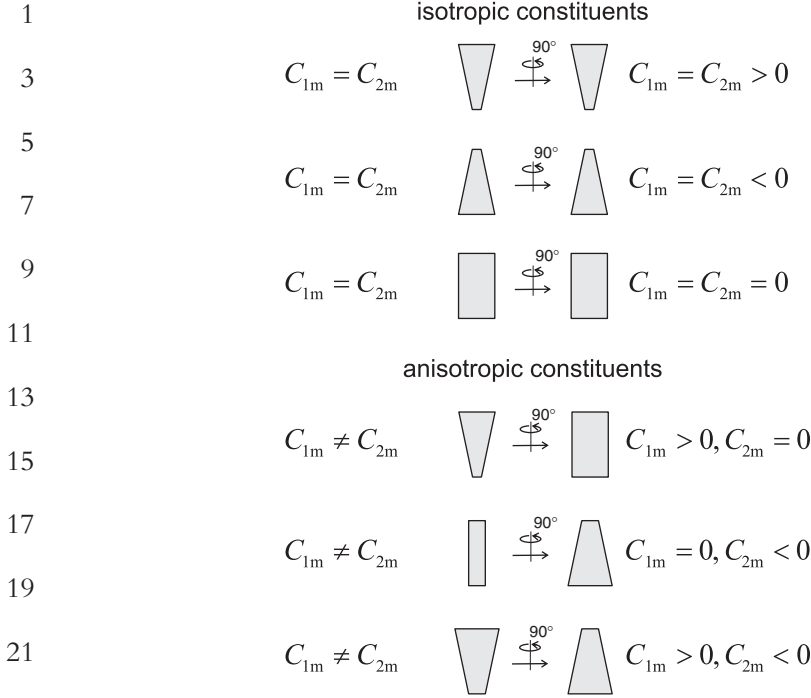
Terms up to second order in the elements of the tensor  $\mathbf{M}$  are taken into account [32,56,57]:

$$E_i = \frac{K}{2}(\text{Tr}\mathbf{M})^2 + \bar{K} \text{Det } \mathbf{M}, \quad (38)$$

where  $K$  and  $\bar{K}$  are the constants of interaction between the inclusion and the surrounding membrane. Using equations (35–38), the single-inclusion energy ( $E_i$ ) can be written in the form [32,36]:

$$E_i = (2K + \bar{K})(H - H_m)^2 - \bar{K}(D^2 - 2DD_m \cos(2\omega) + D_m^2). \quad (39)$$

Quantities  $H = (C_1 + C_2)/2$  and  $H_m = (C_{1m} + C_{2m})/2$  are the respective



**Figure 7** Schematic representation of different intrinsic shapes of some membrane constituents. Front and side views are shown. Upper: isotropic inclusion ( $C_{1m} = C_{2m}$ ), lower: examples of anisotropic inclusions ( $C_{1m} \neq C_{2m}$ ).

27

29 mean curvatures, while  $D = |C_1 - C_2|/2$  and  $D_m = |C_{1m} - C_{2m}|/2$  are the curvature deviators. The curvature deviator  $D_m$  describes the intrinsic anisotropy of the single membrane inclusion (Fig. 7) [28,32,36,44]. The phenomenological expression for the inclusion energy,  $E_i$  contains interaction constants  $K$  and  $\bar{K}$  and intrinsic curvatures  $H_m$  and  $D_m$  that have been recently estimated for the special case of rigid anisotropic inclusion (Fig. 6A) [31]. In the case of more flexible membrane inclusions (Fig. 6B) the constants  $K$ ,  $\bar{K}$ ,  $H_m$ , and  $D_m$  have the meaning of local elastic constants and the spontaneous curvatures [57].

37 The inclusions can rotate around the axis defined by the membrane normal at the site of the inclusion. The time scale for orientational changes of the anisotropic inclusions is usually small compared to shape changes of the lipid bilayer. Therefore the corresponding partition function,  $q$ , of a single inclusion is [22,36,44]

$$41 \quad q = \frac{1}{\omega_0} \int_0^{2\pi} \exp\left(-\frac{E_i(\omega)}{kT}\right) d\omega, \quad (40)$$

43

45 where  $\omega_0$  is an arbitrary angle quantum. Inclusions can also move laterally over the membrane bilayer, so that they can distribute laterally over the membrane in a way that is energetically the most favorable [33,36]. The lateral distribution of the

1 inclusions in a bilayer membrane of overall area  $A$  is in general non-uniform.  
 2 Treating inclusions as point-like, independent, and indistinguishable, the expression  
 3 for the contribution of the inclusions to the membrane-free energy can be derived,  
 4 based on equations (39) and (40) [36]:

$$5 \quad \frac{F_i}{kT} = -N \ln \left[ \frac{1}{A} \int_A q_c I_0 \left( \frac{2\bar{K}}{kT} DD_m \right) dA \right], \quad (41)$$

6 where  $N$  is the total number of inclusions in the membrane segment, while  $q_c$  is  
 7 defined as

$$8 \quad q_c = \exp \left( -\frac{2K + \bar{K}}{kT} (H^2 - 2HH_m) + \frac{\bar{K}}{kT} D^2 \right), \quad (42)$$

9 and  $I_0$  is the modified Bessel function. The integration in equation (41) is per-  
 10 formed over the whole area of the membrane ( $A$ ). For a large planar bilayer  
 11 membrane that contains a single pore only those inclusions contribute to  $F_i$  that are  
 12 located directly in the pore rim. In this case equation (41) can be rewritten in the  
 13 form [1]:

$$14 \quad \frac{F_i}{kT} = n \int_{A_p} \left[ 1 - q_c I_0 \left( \frac{2\bar{K}}{kT} DD_m \right) \right] dA_p, \quad (43)$$

15 where  $n = N/A$  is the average area density of the inclusions in the membrane, and  
 16 where the integration extends only over the area,  $A_p$ , of the membrane rim. The  
 17 influence of the inclusion's anisotropy (Fig. 7) is contained in the Bessel function  
 18  $I_0(2DD_m\bar{K}/kT)$ . Because  $I_0 \geq 1$  from equation (43) that anisotropy of inclusions  
 19 always tends to lower  $F_i$ . Whether inclusions lower or increase  $F$  depends crucially  
 20 on  $D_m$  and  $H_m$ , and on the interaction constants  $K$  and  $\bar{K}$ . The number of in-  
 21 clusions within the pore rim is [1]

$$22 \quad N_p = n \int_{A_p} q_c I_0 \left( \frac{2\bar{K}}{kT} DD_m \right) dA_p. \quad (44)$$

23 If inclusions have no preference for partition into the pore rim ( $q_c I_0 = 1$ ) then  
 24 equation (44) predicts  $N_p/A_p = n$ . Combination of equations (43) and (44) yields  
 25 [1]

$$26 \quad \frac{F_i}{kT} = nA_p - N_p \quad (45)$$

27 Hence, inclusions that enter the membrane pore (in density that exceeds the bulk  
 28 density) contribute  $1kT$  per inclusions to the free energy. If the density of the  
 29 inclusions within the pore region greatly exceeds the bulk density then  $nA_p \ll N_p$   
 30 and thus  $F_i \approx -N_p kT$  [1]. The inclusion size determines the maximal number of  
 31 inclusions,  $N_p^{\max}$  that can enter the pore rim. For rather large inclusions  $R_i \approx b$  and  
 32 small pores  $r \approx b$  we expect that  $N_p^{\max}$  is quite small, of the order of a very few  
 33 inclusions [1]. This seems to indicate that  $F_i$  is hardly able to contribute to a  
 34 substantial decrease in  $F$ . Below we show that nevertheless, for charged bilayer

1 membranes, anisotropic inclusions can dramatically reduce  $F$  (much more than  
 2  $-N_p kT$ ).

3 We note that equation (39) with  $D_m = 0$  has the same structure as the Helfrich-  
 4 bending energy for isotropic membranes. The corresponding interaction constants,  
 5  $K$  and  $\bar{K}$  for a lipid membrane could thus be determined [44] by  $K = k_c a_0$  where  
 6  $k_c \approx 10kT$  is the bending constant of a nearly flat lipid monolayer (that is part of a  
 7 bilayer membrane) and  $a_0 = 0.6-0.8 \text{ nm}^2$  is the cross-sectional area per lipid.

8 We assume that  $k_c a_0 \approx 10kT \text{ nm}^2$  is at least an order of magnitude smaller than  
 9 the interaction constant  $K$  in expression for a single-inclusion energy (equation  
 10 (39)) [1]. Hence, sufficiently large and anisotropic membrane inclusions are ex-  
 11 pected to strongly partition into “appropriately curved” membrane regions.

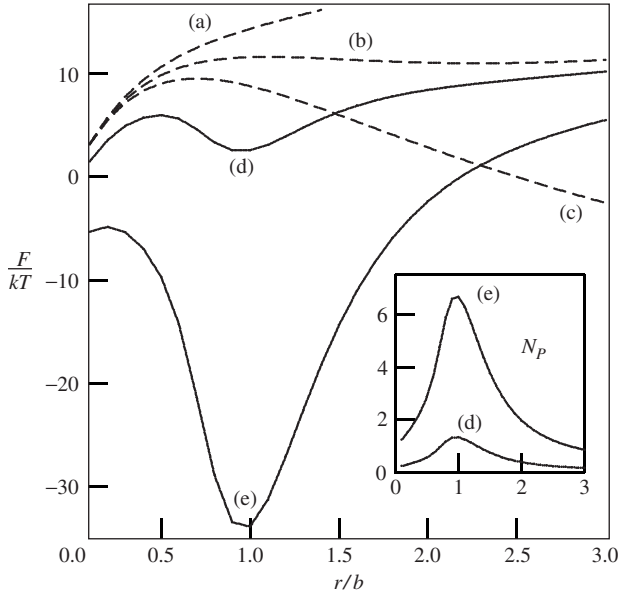
12 We note that partitioning of membrane inclusions into the rim of a membrane  
 13 pore replace some structurally perturbed lipids (besides causing an extra (*excess*)  
 14 splay). These lipids no longer contribute to the energy of the pore. The corre-  
 15 sponding energy gain is not contained in  $F_i$  because we have taken it into account  
 16 already in  $W_{\text{edge}}$  (see equation (2)).  
 17

## 19 6. THEORETICAL PREDICTIONS

20 All the following results are presented for a thickness of the lipid layer  
 21  $b = 2.5 \text{ nm}$ , for a line tension of  $\Lambda = 10^{-11} \text{ J/m}$ , and for a surface charge density  $\sigma$   
 22  $= -0.05 \text{ \AA/m}^2$  of the lipid layer [1]. Taking into account a cross-sectional area per  
 23 lipid of  $a_0 = 0.6-0.8 \text{ nm}^2$  the value for  $\sigma$  would correspond roughly to a 1:4  
 24 mixture of (monovalent) charged and uncharged lipids. This is a common situation  
 25 in biological and model bilayer membranes.  
 26  
 27

### 29 6.1. Inclusion-Free Membrane

30 In the case of inclusion-free membrane [20] the total membrane-free energy con-  
 31 sists only of the line tension contribution (see equation 2) and the electrostatic-free  
 32 energy (see equation (34)). The former favors shrinking, the latter widening  
 33 (growing) of a membrane pore. For small values of Debye length  $l_D$  the pore closes,  
 34 for large  $l_D$  the pore grows. Betterton and Brenner [20] have shown that for  
 35 intermediate values of  $l_D$  there exists a very shallow local minimum of total mem-  
 36 brane-free energy  $F$  as a function of the radius of the pore  $r$ . As an illustration, Fig. 8  
 37 shows the function  $F(r)$  for three different values of  $l_D$ : 2.6 nm (a), 2.7 nm (b), and  
 38 2.8 nm (c). A local minimum of  $F(r)$  is present only in curve (b). Figure 8 ex-  
 39 emplifies a general finding for the inclusion-free isotropic and uniformly charged  
 40 bilayer membrane: the local minimum of the membrane-free energy  $F(r)$  is very  
 41 shallow (below  $kT$ ) and appears in a very narrow region of the values of the Debye  
 42 length  $l_D$ . Based on these results it can be therefore concluded that the hydrophilic  
 43 pore in isotropic and uniformly charged bilayer membrane cannot be stabilized  
 44 solely by competition between the system electrostatic-free energy and the line  
 45 tension energy of the pore rim [1,20].



**Figure 8** The pore-free energy,  $F_i$  as a function of the pore size  $r$  [1]. The dashed lines correspond to a charged inclusion-free membrane of charge density of  $\sigma = -0.05 \text{ \AA}/\text{m}^2$  with Debye length  $l_D = 2.6 \text{ nm}$  (a),  $l_D = 2.8 \text{ nm}$  (b), and  $l_D = 3.0 \text{ nm}$  (c). The solid lines describe the effect of adding anisotropic inclusions (characterized by  $K_{\circ} = 50 \text{ kT}/\text{nm}^2$ ,  $\bar{K} = 35 \text{ kT}/\text{nm}^2$ ,  $c_{1m} = -c_{2m} = 1/b$ ) to the charged membrane with  $\sigma = -0.1 \text{ \AA}/\text{m}^2$  and  $l_D = 2.8 \text{ nm}$ . The average area density of inclusions  $n$  is :  $1/70000 \text{ nm}^2$  (d) and  $1/14000 \text{ nm}^2$  (e). The inset shows the corresponding numbers,  $N_p$ , of inclusions within the membrane rim for curves (d) and (e) [1].

## 6.2. Influence of Anisotropic Intrinsic Shape of Membrane Inclusions

In order to illustrate the effect of anisotropy of the membrane inclusions we chose an inclusion which favors saddle shape  $c_{1m} = -c_{2m} = 1/b$  (Fig. 7). Figure 8 shows  $F(r)$  for two values of average area density of inclusions ( $n$ ):  $1/70000 \text{ nm}^2$  (curve (d)) and  $1/14000 \text{ nm}^2$  (curve (e)). The ability of anisotropic inclusions to lower the local minimum of the membrane-free energy  $F(r)$  can be clearly seen. The anisotropic inclusions tend to accumulate within the rim of the hydrophilic pore [1]. The number of inclusions within the rim of the pore ( $N_p$ ) is estimated by equation (44). This number is plotted in the inset of Fig. 8 for  $n = 1/70000 \text{ nm}^2$  (d) and  $n = 1/14000 \text{ nm}^2$  (e).

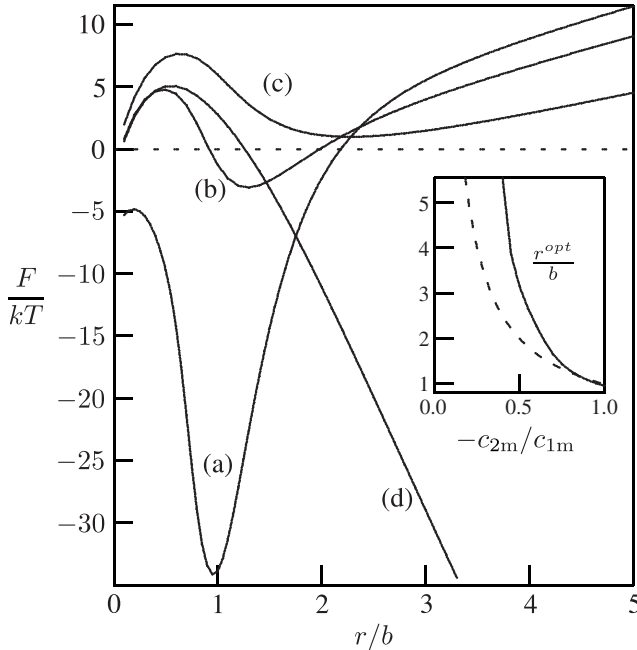
The depth of the minimum of  $F(r)$  for  $n = 1/14000 \text{ nm}^2$  (curve (e) in Fig. 8) is approximately  $30 \text{ kT}$ . It arises predominantly from the accumulation of the anisotropic membrane inclusions in the region of the pore rim. On the other hand, equation (45) predicts that the inclusion energy  $F_i \approx N_p kT$ . The inset of Fig. 8 shows that  $N_p \leq 6$ , therefore the deep minimum of  $F(r)$  cannot arise solely from the inclusion contribution  $F_i$  [1]. To explain the deep minimum of  $F(r)$  we recall that in the inclusion-free membrane the electrostatic energy and the line tension nearly balance each other for small enough values of  $r/b$ . If inclusions enter the pore

1 region they reduce the line tension (see equation (4)). As a result  $U_{el}$  is no longer  
 3 counterbalanced by positive  $W_{edge}$  and thus strongly lowers the total membrane-  
 5 free energy  $F$  [1].

The minimum of  $F(r)$  in Fig. 8 occurs at  $r \approx b$  (see curves (d) and (e)). This  
 5 reflects our choice of the saddle shape of the anisotropic inclusion:  $c_{1m} = 1/b$ ,  $c_{2m} =$   
 7  $-1/b$  (see also Fig. 7). In fact, for  $r = b$  the principal curvatures of the pore rim at  $\theta$   
 9  $= \pi$  (see equation (1)) are  $c_1 = 1/b$  and  $c_2 = -1/b$ , coinciding with the inclusion's  
 11 principal curvatures. This observation suggests the possibility to increase the opti-  
 13 mal size of the pore by altering the shape of the membrane inclusions from a  
 15 saddle-like ( $c_{1m} = 1/b$ ,  $c_{2m} = -1/b$ ) towards a more wedge-like ( $c_{1m} = 1/b$ ,  $c_{2m} \approx$   
 0, see also Fig. 7) [1]. The smaller the magnitude of  $|c_{2m}|$  the larger should the  
 preferred pore size be (see also inset in Fig. 9). Regarding the principal curvatures at  
 the waist of the rim,  $c_1 = 1/b$  and  $c_2 = -1/r$ , one would expect that the optimal  
 pore size ( $r^{opt}$ ) is approximately determined by  $c_{2m} = -1/r^{opt}$  and  $c_{1m} = c_1 = 1/b$   
 leading to the approximative relation [1]

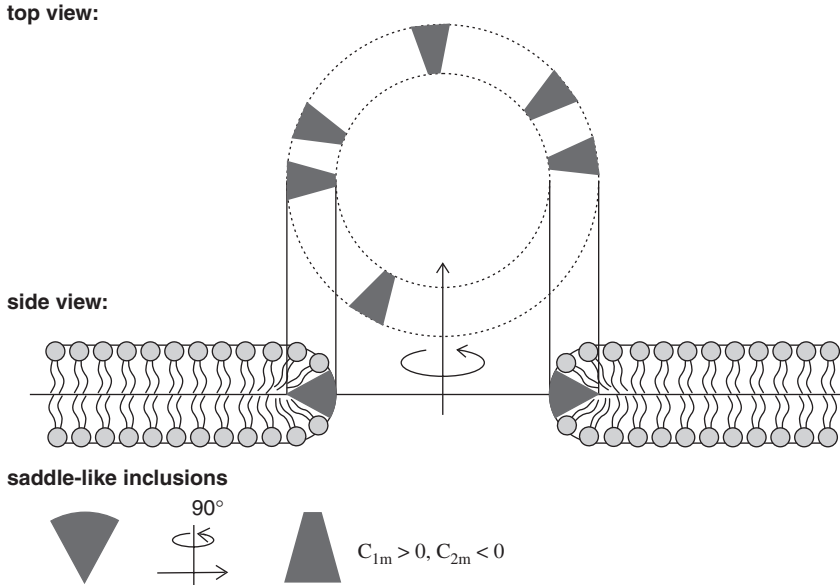
$$17 \quad -\frac{c_{2m}}{c_{1m}} \approx \frac{b}{r^{opt}}. \quad (46)$$

19 In Fig. 9 [1] we consider anisotropic inclusions with intrinsic shape characterized by



43 **Figure 9** The pore-free energy,  $F$ , as a function of the pore size  $r$  for differently shaped,  
 45 anisotropic inclusions:  $c_{2m}/c_{1m} = -1$  (a),  $c_{2m}/c_{1m} = -0.8$  (b),  $c_{2m}/c_{1m} = -0.6$  (c), and  
 $c_{2m}/c_{1m} = 0$  (d) [1]. In all cases the membrane is charged ( $\sigma = -0.05 \text{ A/m}^2$ ,  $l_D = 2.8 \text{ nm}$ ),  
 $c_{1m} = 1/b$  and  $n = 1/14000 \text{ nm}^2$ . The inset shows the position of the local minimum,  $r^{opt}$ , as a  
 function of  $c_{2m}/c_{1m}$  (solid line). The dashed line in the inset corresponds to  $-c_{2m}/c_{1m} = b/r^{opt}$  [1].





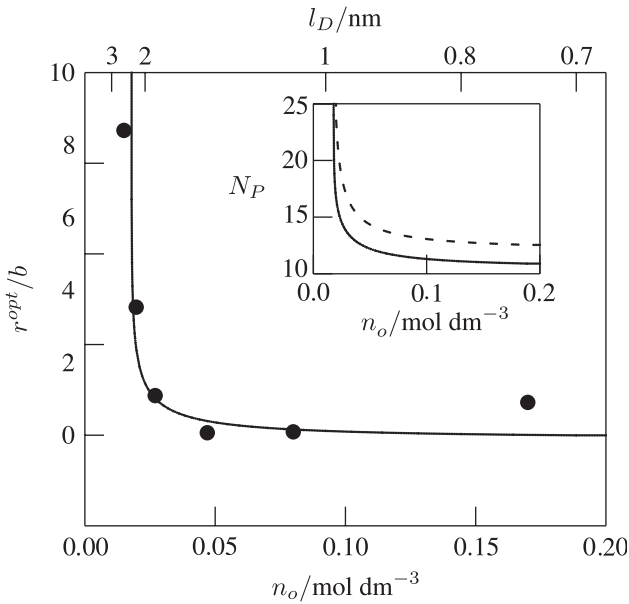
**Figure 10** Schematic presentation of stabilization of hydrophilic pore in bilayer membrane by anisotropic saddle-like membrane inclusions.

$c_{1m} = 1/b$  and  $c_{2m}/c_{1m}$ :  $-1$  (a),  $-0.8$  (b),  $-0.6$  (c), and  $0$  (d). As it can be seen in Fig. 9 the local minimum of  $F(r)$  shifts to larger pore sizes as the inclusions become more wedge-shaped (compare the position of the local minimum of curves (a)–(c)). The solid line in the inset of Fig. 9 shows how the optimal pore radius  $r^{\text{opt}}$  changes with  $c_{2m}/c_{1m}$ . The broken line in the inset displays the prediction according to approximative equation (46). Figure 9 also shows that below some critical values of the ratio  $|c_{2m}/c_{1m}|$  the local minimum in  $F(r)$  disappears (in Fig. 9 for  $|c_{2m}/c_{1m}| < 0.4$ ), which means that the pore becomes unstable and starts to grow in the process which never stops. It should be also stressed that for isotropic inclusions where  $c_{1m} = c_{2m}$  (see Fig. 7) we do not find energetically stabilized pores. The stabilization of the pore derives from the matching of the rim geometry with the inclusion's preference (Fig. 10). The pore rim provides a saddle-like geometry with different signs of  $c_1$  and  $c_2$  (see also Fig. 2). Consequently a saddle-like inclusion geometry (that is, different signs of  $c_{1m}$  and  $c_{2m}$ ) is needed to stabilize the pore [1].

### 6.3. Influence of Salt Concentration (Ionic Strength)

In all examples presented in Fig. 9 we have added anisotropic inclusions to charged membranes with specifically selected Debye length  $l_D = 2.8$  nm [1] (Debye length  $l_D$  is inversely proportional to square root of ionic strength  $n_0$ , see also equation (6)). We recall from Fig. 8 that this was the choice for which already an inclusion-free membrane exhibits a very shallow minimum in  $F(r)$  (see dashed curve (b) in Fig. 8). The question arises whether pores in the membrane with anisotropic inclusions can

1 be stabilized also for other electrostatic conditions (i.e., other values of ionic  
 3 strength). In this respect it is interesting to compare our theoretical predictions with  
 5 experimental observation of stable pores in red blood cell ghosts for which data of  
 7 the optimal pore radius  $r^{\text{opt}}$  as a function of the salt concentration  $n_0$  exists [4]. Our  
 9 theoretical approach [1] is able to reproduce these experimental data as presented in  
 11 Fig. 11. Figure 11 shows the calculated and experimentally determined stable pore  
 13 radius as a function of the salt concentration (ionic strength) in the suspension of red  
 15 blood cell ghosts [1]. The inset of Fig. 11 shows the corresponding number of  
 17 inclusions in the rim of the pore ( $N_P$ ) (solid line) as well as the maximal possible  
 19 number of inclusions in the rim of the pore  $N_P^{\text{max}} = \pi r^{\text{opt}}/R_i$  (broken line) at  
 21 which the inclusions would sterically occupy the entire rim. The observation  
 23  $N_P < N_P^{\text{max}}$  indicates applicability of our approach for the selected average area  
 25 density of the inclusions in the membrane  $n = 1/2000 \text{ nm}^2$ . Nevertheless, Fig. 11  
 27 should be understood only as illustration of the principal ability of anisotropic  
 29 membrane inclusions to stabilize membrane pores, under different electrostatic  
 31 conditions.  
 33  
 35  
 37  
 39



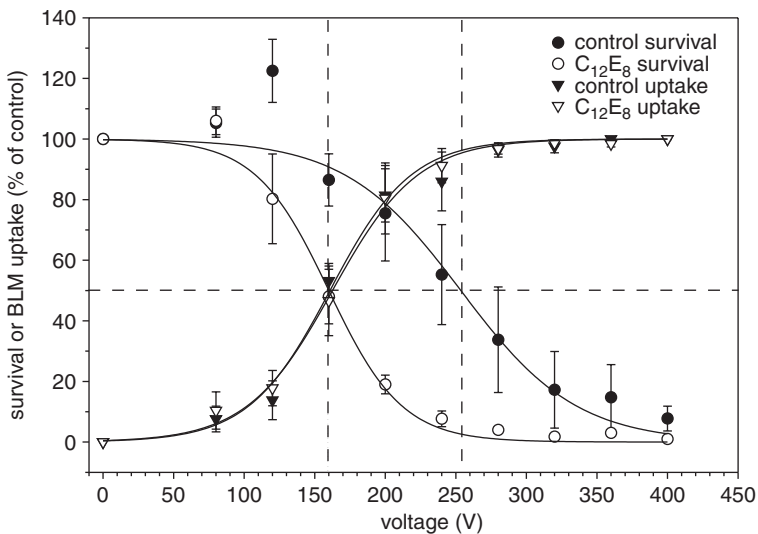
43 **Figure 11** The optimal pore size  $r$  as a function of the ionic strength (salt concentration) of the  
 45 surrounding electrolyte medium [1]. The charge density of the membrane is  $\sigma = -0.05 \text{ \AA/m}^2$ , the  
 average area density of the inclusions is  $n = 1/2000 \text{ nm}^2$ , and the inclusion's preferred curvatures  
 $c_{1m} = 1/b$  and  $c_{2m}/c_{1m} = -0.4$ . Experimental values [4] are also shown ( $\bullet$ ). The inset shows the  
 actual number of inclusions,  $N_P$ , residing in the pore of optimal size,  $r^{\text{opt}}$  (solid line), and the  
 maximal number,  $N_P^{\text{max}} = \pi r^{\text{opt}}/R_i$  (broken line) [1].

## 7. ON THE ROLE OF ANISOTROPIC MEMBRANE INCLUSIONS IN MEMBRANE ELECTROPORATION-EXPERIMENTAL CONSIDERATION

Electroporation is a method for artificial formation of pores in biological membranes by applying an electric field across the membrane [8–10]. A problem in the electroporation of living tissue is that it often causes irreversible damage to the exposed cells and tissue [12]. Increasing the amplitude of the electric field in electroporation diminishes cell survival rates [13]. On the other hand, if the applied electric field is too low, stable pores are not formed. A way to improve the efficiency of electroporation is chemical modification of the membranes by surfactants. Little is known about the effect of surfactants on cell membrane fluidity and its relation to electroporation.

A recent electroporation experiment has shown that nonionic surfactant (detergent) polyoxyethylene glycol  $C_{12}E_8$  does not affect the reversible electroporation, however, it significantly increases the irreversible electroporation (i.e., irreversible electroporation occurs at lower applied voltage in the presence of  $C_{12}E_8$  (Fig. 12) [2]). The addition of  $C_{12}E_8$  caused cell death at the same voltage at which the reversible electroporation takes place. This can be explained by pore stabilization effect of  $C_{12}E_8$  [2] taking into account the results of above presented theoretical study of the influence of anisotropic membrane inclusions on the energetics and stability of hydrophilic membrane pores.

$C_{12}E_8$  incorporates in lipid bilayer with polar detergent head group at the level of polar phosphate head groups and with detergent chain inserted in between acyl chains of fatty acids of the membrane phospholipids (Thormond *et al.*, 1994). AU:6



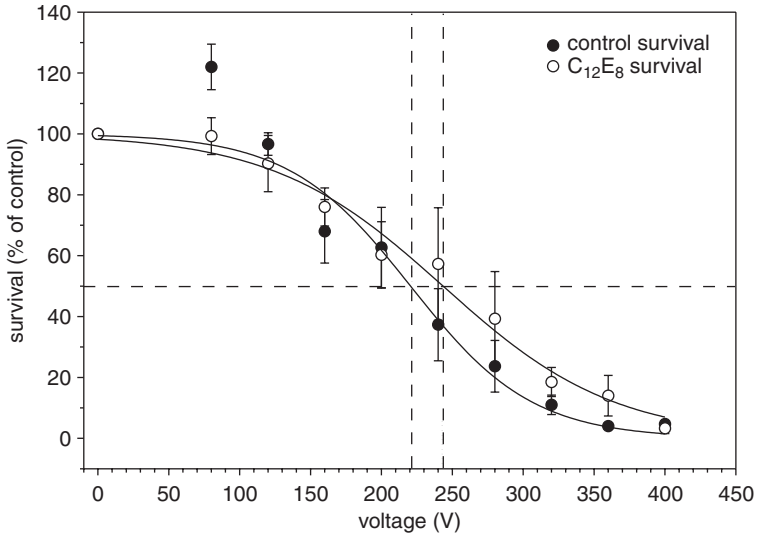
**Figure 12** The effect of  $C_{12}E_8$  on reversible electroporation (measured by bleomycin uptake) and irreversible electroporation measured by cell survival on cell line DC3F [2].

1 Incorporation of  $C_{12}E_8$  into the phospholipid bilayer leads to decreased average  
2 chain length and increased average area per chain and considerable perturbation of  
3 acyl chain ordering of neighboring phospholipid molecules. Consequently, the  
4 effective shape of phospholipids around  $C_{12}E_8$  changes from cylinder to inverted  
5 truncated cone (Thurmond *et al.*, 1994). A cooperative interaction of one  $C_{12}E_8$   
6 molecule and some adjacent phospholipid molecules has been proposed (Heerklotz  
7 *et al.*, 1998). Based on these experimental data an anisotropic effective shape of  
8  $C_{12}E_8$  phospholipids complex (inclusion) has been suggested recently [32,43,61].

9 In accordance with our theoretical predictions (Figs. 8 and 9) we therefore  
10 suggested that  $C_{12}E_8$  induce anisotropic membrane inclusions [43] which stabilize  
11 the membrane hydrophilic pore by accumulating on toroidally shaped rim of the  
12 pore and attaining favorable orientation (Fig. 10) [2].

13 We presume that hydrophilic pores are formed at the voltages at which revers-  
14 ible electroporation takes place and that these pores are prerequisite for the  
15 bleomycin access to the cell interior that causes cell death. On the other hand, cell  
16 death that is a consequence of irreversible electroporation *is caused by electric field itself*  
17 *that provokes irreversible changes in the membrane*. In control cells, which were treated  
18 with  $C_{12}E_8$  so that the pore stabilization did not occur, 50% of the cells survived the  
19 application of pulses of the amplitude of 250 V. In these cells, a resealing of the cell  
20 membrane took place while in the  $C_{12}E_8$ -treated cells no cells survived the ap-  
21 plication of pulses of the amplitude of 250 V, as resealing was prevented by  $C_{12}E_8$   
22 (Fig. 12). In control cells, we observed 50% of the permeabilization as determined  
23 by bleomycin uptake at 160 V [2]. At the same voltage, in the  $C_{12}E_8$ -treated cells  
24 we observed 50% of the permeabilization and also only 50% of cell survival after  
25 treatment with electric field (Fig. 12). This shows that the irreversible electropo-  
26 ration of the  $C_{12}E_8$ -treated cells is shifted to the same voltage at which reversible  
27 electroporation occur. In other words, electropermeabilization in the presence of  
28  $C_{12}E_8$  becomes irreversible as soon as it occurs. These results lead to the conclusion  
29 that stabilization of the hydrophilic membrane pores by  $C_{12}E_8$  was induced by  
30 anisotropic membrane inclusions (Figs. 8–10).

31 To confirm this conclusion we performed additional experiments [2]. Namely,  
32 from above described experiments with  $C_{12}E_8$  we could not distinguish between  
33 the pore stabilization effect of the  $C_{12}E_8$ -induced anisotropic membrane inclusions  
34 (Fig. 10) and the possibility that  $C_{12}E_8$  could be toxic when it has access to the cell  
35 interior. Therefore in these additional experiments the molecules of  $C_{12}E_8$  were  
36 added after the application of the train of 8 electric pulses. It was shown that  $C_{12}E_8$   
37 was not cytotoxic when it gained access to the cell interior (Fig. 13), as after  
38 electroporation the cell membrane remains permeable for relatively small molecules  
39 such as  $C_{12}E_8$  [2]. From these results we concluded [2] that the cell death observed  
40 in the previous experiments (Fig. 12) is caused by bleomycin which is transported  
41 into the cell through pores which are stabilized by  $C_{12}E_8$  (see Fig. 10). Also it was  
42 concluded that in order to show this effect  $C_{12}E_8$  has to be incorporated in the cell  
43 membrane prior to application of the electric pulses.



**Figure 13** The effect of  $C_{12}E_8$  added immediately after application of electric pulses on survival of DC3F cells [2].

## 8. DISCUSSION AND CONCLUSIONS

The influence that membrane inclusions have on the energetics of membrane pores is often interpreted in terms of altering the mesoscopic elastic properties of the membrane. For example, the effect of surfactants is often described by the surfactant dependent effective bending stiffness of a membrane bilayer and effective membrane spontaneous curvature [21,36,58,59]. In accordance it is assumed that the presence of cone-like or inverted cone-like membrane inclusions (see Fig. 7) can induce a shift in the spontaneous curvature [21,36,59]. This shift can be translated into a change of the line tension which may provide a simplified basis for analyzing the energetics of a membrane pore [18].

Our present theoretical approach contains isotropic inclusions only as a special case, namely the inclusions are isotropic for  $D_m = 0$  (see also Fig. 7). Beyond the effect of cone-like and inverted cone-like inclusions, our present approach allows to analyze also other inclusion shapes, such as wedge-like or saddle-like (Fig. 7). Such inclusions can be characterized by an appropriate combination of  $H_m$  and  $D_m$  (or equivalently  $C_{1m}$  and  $C_{2m}$ ).

In the case of *homogeneous lateral distribution* of membrane inclusions, the intrinsic spontaneous mean curvature of the inclusions ( $H_m$ ) renormalize the membrane spontaneous curvature (see also [21,36,59]). However, if the lateral distribution of the inclusions is not homogeneous [21,29,36,60] the effect of the membrane inclusions (as for example surfactant-induced membrane inclusions, proteins, etc.) on membrane elasticity cannot be described simply by renormalization of membrane spontaneous curvature.

1 In the following we shortly discuss a few examples where we think that par-  
2 ticularly the anisotropy of membrane-embedded inclusions could be relevant for  
3 the pore energetics.

4 Adding to the outer solution of the phospholipid membrane or the cell mem-  
5 brane a nonionic surfactant octaethyleneglycol dodecylether ( $C_{12}E_8$ ) [2,41] causes a  
6 decrease of a threshold for irreversible electroporation (i.e.,  $C_{12}E_8$  decreases the  
7 voltage necessary for reversible electroporation) (Fig. 12). In other words,  $C_{12}E_8$   
8 molecules make transient pores in a membrane more stable [2]. In experiments the  
9 concentration of  $C_{12}E_8$  was chosen so that it was not cytotoxic (Fig. 13) [2].

10 In order to explain the effect of  $C_{12}E_8$  on reversible electroporation we sug-  
11 gested that  $C_{12}E_8$ -induced anisotropic membrane inclusions may stabilize the pore  
12 by accumulating on toroidal edge of the hydrophilic pore and by attaining a fa-  
13 vorable orientation (Fig. 10). Our result could be thus considered as circumstantial  
14 evidence for the existence of hydrophilic pores that become stabilized by aniso-  
15 tropic membrane  $C_{12}E_8$  inclusions that prevent membrane resealing.

16 Our theoretical approach could also help to better understand the pore en-  
17 ergetics as recently investigated by Karatekin *et al.* [18]. For example, the authors  
18 have measured a dramatic increase of the transient pore lifetime induced by the  
19 detergent Tween 20 which has an anisotropic polar head group. The importance of  
20 the anisotropy of the polar heads of the detergents for the stability of anisotropic  
21 membrane structures has been indicated recently. It has been shown that a single-  
22 chained detergent with anisotropic dimeric polar head (dodecyl D-maltoside) may  
23 induce tubular nanovesicles [28,62] in a way similar as induced by strongly an-  
24 isotropic dimeric detergents [47].

25 Our approach could add to better understanding of pore formation induced by  
26 some antimicrobial peptides [19,42]. These peptides have a pronounced elongated  
27 shape which arises from their alpha-helical backbone structure which renders them  
28 highly anisotropic. Some of these peptides are believed to cooperatively self-as-  
29 semble into membrane pores. Thus, they can not only facilitate pore formation but  
30 they can *actively* induce it. Despite their importance there are currently only few  
31 theoretical investigations on the energetics of peptide-induced pore formation  
32 [37,38,40,63]. Our model provides a simple way to describe the underlying physics  
33 of peptide-induced pore formation in lipid membranes.

34 Our theoretical analysis of the stability and energetics of a single membrane pore  
35 is based on a simple and physically transparent model [1,2], which however involves  
36 a number of approximations.

37 As for example we have adopted a phenomenological expression for the mem-  
38 brane-inclusion interaction energy. This approach is (as in the Helfrich-bending  
39 energy [48]) valid if the local curvatures,  $C_1$  and  $C_2$ , do not deviate too much from  
40 the preferred curvatures,  $C_{1m}$  and  $C_{2m}$ . On the other hand, the membrane rim  
41 provides local curvatures that differ greatly from those of the planar membrane.  
42 Hence, somewhere (either in the bulk membrane or within the rim) the deviations  
43 between the actual and the preferred curvatures are necessarily large. Yet, the  
44 Helfrich-bending energy describes well the line tension of an inclusion-free mem-  
45 brane (see for example [2]). On the same ground we are confident about the  
applicability of our expression for single-inclusion-free energy. There are also

1 approximations concerning the geometry of the membrane pore. Its shape is as-  
2 sumed to be circular, covered by a semi-toroidal rim [1,2]. However, there could be  
3 an inclusion-induced change in the cross-sectional shape of the membrane rim.  
4 Therefore we have performed additional calculations where we have allowed for a  
5 semi-ellipsoidal shape of the membrane rim. The free energy was then minimized  
6 with respect to the corresponding aspect ratio. With this additional degree of  
7 freedom we found qualitatively the same results as with the semi-toroidal rim [1]  
8 presented in this chapter.

9 The statistical mechanical approach to derive the inclusion-free energy  $F_i$   
10 (equation (41)) assumes point-like inclusions, which interact only through a mean  
11 curvature field. Direct interactions between the inclusions [34] (which can be  
12 included in our theoretical approach [60,64,65]) may become important for the  
13 inclusions distributed in the pore rim where the distance between neighboring  
14 inclusions can be very small.

15 Nevertheless, none of the employed approximations can detract from our prin-  
16 cipal conclusion: anisotropic membrane inclusions can stabilize the pores in bilayer  
17 membrane [1,2].

18 Our theoretical approach takes into account the anisotropy of the membrane  
19 inclusions which enables us to describe various inclusion shapes: cone-like, inverted  
20 cone-like, wedge-like and saddle-like inclusions (see Fig. 7). In the model, the  
21 lateral density of the anisotropic inclusions is not kept constant so the inclusions  
22 may be predominantly localized in the energetically favorable regions [29,60], such  
23 as pore edges. Our model is simple, however it provides a lucid framework to  
24 analyze the energetics of pore formation in bilayer membranes [1] due to ex-  
25 ogeneously bound molecules such as for example the detergent sodium cholate  
26 [18], detergent  $C_{12}E_8$  [2], or the protein talin [66].  
27

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